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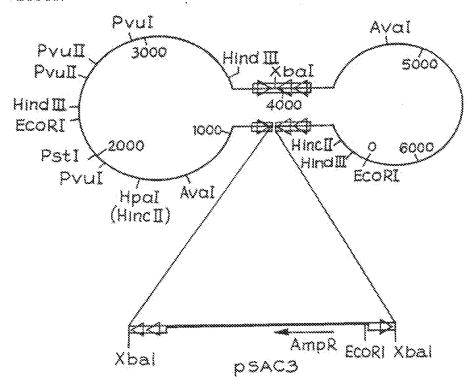
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(57) Abstract

A 2 µm plasmid vector for transforming yeast, especially brewing yeast, comprises a DNA sequence allowing propagation of the plasmid in bacteria, two copies of the 74 base pair FLP recombination site of the 2 µm plasmid in direct orientation and a DNA sequence coding for a protein or peptide of interest. The plasmid is so constructed that in yeast the bacterial DNA sequence is spontaneously lost. A "gene of interrest" is preferably inserted at the SnaBI site.

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WO 88/08027 PCT/GB88/00276

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### YEAST VECTOR

This invention relates to genetic engineering in yeast, especially <u>Saccharomyces cerevisiae</u>.

The uptake of exogenous DNA by yeast cells and the subsequent inheritance and expression of that DNA are brought about by a process called transformation. Transformation was first described in the late 1970's, employing methods which rely upon the addition of DNA to protoplasts produced by the enzymic removal of the yeast cell wall (Hinnen et al., 1978; Beggs, 1978). More recently the transformation of intact yeast cells has been demonstrated (Hisao et al., 1983).

Yeast can be transformed by appropriate plasmids; plasmids used for this purpose are usually constructed as "shuttle vectors" which can be propagated in either 15 Escherichia coli or yeast (Hinnen et al., 1978; Beggs, 1978; Struhl, et al., 1979). The inclusion of E.coli plasmid DNA sequences, such as pBR322 (Bolivar, 1978), facilitates the quantitative preparation of vector DNA in E.coli, and thus the efficient transformation of yeast.

Plasmid vectors commonly in use for yeast trans-20 formation can be divided into two types: (i) replicating vectors, that is those which are capable of mediating their own maintenance, independent of the chromosomal DNA of yeast, by virtue of the presence of a functional 25 origin of DNA replication and (ii) integrating vectors, which rely upon recombination with the chromosomal DNA to facilitate replication and thus the continued maintenance of the recombinant DNA in the host cell. Replicating vectors can be further sub-divided into: (a) 2µm-based plasmid vectors in which the origin of DNA replication is 30 derived from the endogenous 2 µm plasmid of yeast, (b) autonomously replicating vectors (ARS) in which the "apparent" origin of replication is derived from the chromosomal DNA of yeast and (c) centromeric plasmids

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(CEN) which carry in addition to one of the above origins of DNA replication a sequence of yeast chromosomal DNA known to harbour a centromere.

In order to transform yeast efficiently with any of the aforementioned vectors it is necessary to impose a selection to identify those transformants which carry the recombinant DNA. This is achieved by incorporating within the vector DNA a gene with a discernible phenotype. In the case of vectors used to transform laboratory yeast, prototrophic genes, such as <u>LEU2</u>, <u>URA3</u> or <u>TRP1</u> (Hinnen <u>et</u> 10 al., 1978; Beggs, 1978; Gerbaud et al, 1979), are usually used to complement auxotrophic lesions in the host. However, in order to transform brewing yeast and other industrial yeasts, which are frequently polyploid and do not display auxotrophic requirements, it is necessary to 15 utilize a selection system based upon dominant selectable gene. In this respect replicating 2pm based plasmid vectors have been described carrying genes which mediate resistance to: (i) antibiotics, for example G418 (Jiminez et al., 1980; Webster et al., 1983), hygromycin 20 B (Gritz <u>et al</u>., 1983), chloramphenicol (Cohen <u>et al</u>., 1980; Hadfield at al, 1986), and (ii) otherwise toxic materials, for example the herbicide sulfometuron methyl (Falco et al., 1985), compactin (Rine et al 1983) and copper (Henderson et al., 1985). 25

The inheritable stability of recombinant genes in yeast is dependent upon the type of yeast vector employed to facilitate transformation. The most stable of the two types of vector systems described earlier are integrating vectors. 30 The principles and practice of integrative yeast transformation have been described in the literature (Botstein & Davis, 1982; Winston et al., 1983; Orr-Weaver <u>et <u>al</u>., 1983; Rothstein, 1983).</u> integrative transformation is relatively inefficient; closed circular integrating plasmids have described been yield approximately which

transformants per us of DNA (Hinnen et al., 1979; Hicks et al 1979). However, linear DNA, with free ends located in DNA sequences homologous with yeast chromosomal DNA, transforms yeast with higher efficiency (100-1000 fold) 5 and the transforming DNA is generally found integrated in sequences homologous to the site of cleavage (Orr-Weaver st al., 1981). Thus by cleaving the vector DNA with a suitable restriction endonuclease, it is possible to increase the efficiency of transformation and target the 10 of chromosomal integration. Integrative transformation is applicable to the genetic modification of brewing yeast, providing that the efficiency of transformation is sufficiently high and the target sequence for integration is within a region that does not disrupt genes essential to the metabolism of the host 15 cell. An integrating yeast vector has recently been described for brewing yeast (Yocum, 1985).

Unlike integrating vectors, which show a high degree inheritable stability in the absence of selection, 20 replicating vectors tend to be more unstable. The degree of inheritable stability is dependent upon the type of replicating vector used. ARS plasmids, which have a high copy number (approximately 20-50 copies per cell) (Hyman et al., 1982), tend to be the most unstable, and are lost at a frequency greater than 10% per generation (Kikuchi, 25 1983). However, the stability of ARS plasmids can be enhanced by the attachment of a centromere; centromeric plasmids are present at 1 or 2 copies per cell (Clarke & Carbon, 1980) and are lost at only approximately 1% per 30 generation (Walmsley at al., 1983). Chimaeric 2µm based plasmids show varying degrees of inheritable stability, dependent upon both the host strain and the 2µm DNA sequences present on the plasmid.

The 2µm plasmid is known to be nuclear in cellular 35 location (Nelson & Fangman, 1979; Livingston & Hahne, 1979; Seligy at al., 1980; Taketo at al., 1980; Sigurdson

et al., 1981), but is inherited in a non-Mendelian fashion (Livingston, 1977). Cells without the Zum plasmid (cir\*) have been shown to arise from haploid yeast populations having an average copy number of 50 copies of the 2µm plasmid per cell at a rate of between 0.001% and 0.01% of the cells per generation (Futcher & Cox, 1983). A possible explanation for this low level of inheritable instability is that the plasmid provides no obvious advantage to the cell under normal growth conditions (Broach, 1981; Futcher & Cox, 1983; Sigurdson et al., 10 -1981), although small effects on growth rates have been reported for some strains harbouring the 2µm plasmid (Walmsley et al., 1983). Analysis of different strains of S. cerevisiae has shown that the plasmid is present in most strains of yeast (Clark-Walker & Miklos, 1974) 1.5 including brewing yeast (Tubb, 1980; Aigle et al., 1984; Hinchliffe & Daubney, 1986). It thus appears that the plasmid is ubiquitous, which implies a high degree of inheritable stability in nature.

Genetic and molecular analysis of the 2 µm plasmid 20 has revealed a wealth of information concerning the replication and stable maintenance of the (Volkert & Broach, 1987). In essence the plasmid consists of a circular DNA molecule of 6318 base-pairs (Hartley & 25 Donelson. 1980). It harbours a unique bidirectional origin of DNA replication (Newlon at al., 1981) which is an essential component of all 2µm based vectors. The plasmid contains four genes, REP1, REP2, REP3 and FLP which are required for the stable maintenance of high plasmid copy number per cell (Broach & Hicks, 1980; 30 Jayaram et al., 1983). The REP1 and REP2 genes encode trans acting proteins which are believed to function in concert by interacting with the REP3 locus to ensure the stable partitioning of the plasmid at cell division (Volkert & Broach, 1987). In this respect the REP3 gene 35 behaves as a cis acting locus which effects the stable

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segregation of the plasmid, and is phenotypically analogous to a chromosomal centromere (Jayaram et al., 1983; Kikuchi, 1983). An important feature of the 2µm plasmid is the presence of two inverted DNA sequence repetitions (each 559 base-pairs i.n length) separate the circular molecule into two unique regions. Intramolecular recombination between the inverted repeat sequences results in the inversion of one unique region relative to the other and the production in vivo of a mixed population of two structural isomers of the plasmid, designated A and B (Beggs, 1978). Recombination between the two inverted repeats is mediated by the protein product of a gene called the FLP gene, and the protein is capable of mediating high frequency recombination within the inverted repeat region. site specific recombination event is believed to provide a mechanism which ensures the amplification of plasmid copy number (Futcher, 1986; Volkert & Broach, 1986; Som <u>et al., 1988; Murray et al., 1987).</u>

20 Each inverted repeat sequence comprises three DNA repeat sequence sub-units (depicted as triangles in Figure 3), two adjacent sub-units being in mutually direct orientation, and the third being ìn orientation and joined to one of the other sub-units via an 8 base pair linking or spacer region. This spacer 25 region contains a unique Xbal site and recognises and is out at its margins by the product of the FLP gene. The adjacent sequences are of course homologous to the corresponding of the other inverted repeat sequences sequence and hence provide for accurate recombination 30 following the said cutting. Andrews et al., (1985) has found that a 74 base pair sequence including the 8 b.p. spacer region is the minimum requirement for FLF site specific recombination.

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Yeast vectors based upon the replication system of 2um plasmid have been constructed by inserting the heterologous DNA sequences in regions of the 2µm plamid not essential to its replication (Beggs, 1981). This has resulted in two basic types of vector: (i) whole 2µm vectors and (ii) 2pm origin vectors. In the case of the former, these vectors harbour the whole 2µm plasmid into which various heterologous sequences have been inserted, such as E.coli plasmid DNA. These plasmids are capable of 10 maintaining themselves at high copy number with a high of inheritable stability in both cir+ degree containing) and ciro (2µm deficient) hosts. On the other hand 2µm crigin vectors usually contain a minimal DNA sequence harbouring the 2µm origin of DNA replication and 15 a single copy of the 599 base-pair repeat of 2µm; such vectors can only be maintained in cirt host strains, since they require the proteins encoded by the REP1 and  $rac{REP2}{}$  genes to be supplied in  $rac{trans}{}$  from the endogenous plasmid to ensure their 'stable' maintenance.

When a genetically modified yeast which is capable of expressing a heterologous gene to produce high levels of a commercially important polypeptide is constructed, it is usually desirable to choose a high copy number yeast vector. 2µm based vectors have proved very successful for use as expression plasmids and therefore frequently constitute the vector of choice (Kingsman et al., 1985).

In European Patent Application 86303039.1 (Publication No. 0201239 Al in the name of Delta Biotechnology Ltd.) a process is described for the production of heterologous proteins in brewing yeast, in which an industrial yeast strain is genetically modified be capable of expressing a heterologous gene, such that no expression of the said heterologous gene takes place during the primary beer fermentation, but rather biomass is accumulated and the synthesis

WO 88/08027 PCT/GB88/00276

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heterologous protein is induced after the yeast has been removed from the beer. This is achieved by transforming brewing yeast with a 2µm based plasmid harbouring the dominant selectable marker CUP-1 and a gene encoding a 5 modified human serum protein, N-methionyl albumin (Metwhose expression is regulated at the criptional level by a galactose inducible promoter. In order to maximise the yield of heterologous protein synthesis during the operation of the said process it is necessary to ensure: (i) a high copy number of the gene 10 be expressed (encoding for Met-HSA); (ii) a high degree of inheritable stability of the gene of interest under conditions of non-selective growth; (iii) that the recombinant genes transformed into brewing yeast must not have a deleterious effect upon the yeast and its ability 1.5 to produce beer and subsequently heterologous protein; and (iv) that the recombinant genes present in yeast should, so far as possible, be restricted to the 'gene of interest' and adjacent yeast regulatory genes. 20 requirement (ii) is particularly important because it is both impractical and undesirable to supplement the normal growth medium of brewers' yeast, namely hopped malt extract, with toxic materials such as copper ions since this will increase process costs and have a deleterious 25 and probably unacceptable effect upon the quality of the beer, which is the primary product of the fermentation. In connection with requirement (iv), it is desirable that genetically modified yeast should not possess extraneous DNA sequences such as those which are derived from the bacterial portion of the recombinant plasmid.

In our application published as EP-A-251744 we have a method for modifying yeast described cells incorporating into the endogenous 2µm plasmid a DNA sequence coding for a protein or peptide of interest, by making an integration vector comprising two copies of a homologous 2µm plasmid DNA sequence in direct orientation

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encompassing the DNA sequence of interest, transforming with the said integration vector. and isolating from the transformed yeast obtained cells containing the endogenous 2µm plasmid modified incorporation of the DNA sequence of interest. The integration vector itself does not survive in transformed yeast cells. The homologous 2µm plasmid DNA sequences may be, but usually are not, copies of the 2 µm plasmid repeat sequence.

We have now found that a modification of the method of the said application make it possible to transform yeast cells by incorporation of a modified 2 µm plasmid.

In Tthe method of the present application, the plasmid vector used comprises a DNA sequence which allows propagation of the vector in bacteria encompassed between two homologous 2µm plasmid DNA FLP recombination sites in direct orientation, a DNA sequence coding for a protein peptide of interest, which is preferably but not necessarily a sequence heterologous to preferably also a selectable marker DNA sequence. The Zum plasmid vector of the invention thus comprises three copies of the FLP recombination site of which one pair is in direct orientation and the other two pairs are in indirect orientation. When yeast is transformed with a plasmid vector having this construction, the DNA sequence which allows propagation of the vector in bacteria has ben found to be spontaneously lost and the plasmid vector a modified Zpm plasmid which is capable of replacing the endogenous 2µm plasmid in the transformed yeast. Plasmid vectors of this type are hereinafter called disintegration vectors. The yeast transformed with such vectors may contain multiple extrachromosomal copies of a modified 2µm plasmid containing a gene of interest but no bacterial DNA, which have been found to be stably inherited under conditions of non-selective growth.

Bruschi (13th International Conference on Yeast Genetics and Molecular Biology, Autumn 1986) disclosed that recombination in a 2u-based plasmid could result in the excision of bacterial DNA sequences, but suggested only that the system could be used to study structure-function relationships in the DNA molecule. We have now found that a similar system can be used to prepare advantageous expression vectors which have unexpected stability.

The term "FLP recombination site" is used herein to mean any site which will allow for recombination as a result of interaction with the FLP gene product. If Andrews' finding (1985) is correct, then the FLP recombination site will generally comprise as a minimum the 74 b.p. sequence identified by him. In practice, there is no point in including more than the 599 base pairs of the whole repeat sequence.

The 2µm based disintegration vector of the present invention has been found to be capable of transforming both laboratory and industrial yeast. The disintegration 20 vector is maintained at a high copy number per cell and has an extremely high degree of inheritable stability. In addition, unlike all other 2µm based plasmid vectors thus far described, the disintegration vector is constructed 28 so that, upon transformation of yeast, the bacterial plasmid DNA sequences are spontaneously deleted. Thus genetically modified strains of brewing yeast can be constructed in which the 'gene of interest' incorporated the 2µm plasmid is stably maintained, even under conditions of non-selective growth, at a high copy number 30 per cell, in the absence of extraneous bacterial plasmid sequences. The use of such a vector in the construction of a genetically modified brewing yeas: ensures that only the 'gene of interest' is stable 35 maintained for successive generations in brewing yeast. thereby circumventing any potential deleterious effects

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that additional DNA sequences may have upon the technological behaviour of the yeast and/or the flavour and quality characteristics of beer produced by the yeast.

In practice the 'gene of interest' can be any recombinant gene, either homologous or heterologous to yeast. The distintegration vector can be used for example to stably integrate the Met-HSA gene in brewing yeast expressed from either a constitutive yeast promoter, for example the phosphoglycerate kinase promoter (PGK) in accordance with the method described in EP-A-147 198 or a regulated yeast promoter, for example the GAL10/CYC1 hybrid promoter as described in EP-A-201 239, or the GAL/PGK promoter as described in EP-A-258 067.

Additional genes which may be stably maintained by this system include the DEXI gene of Saccharomyces diastaticus which specifies the production of an extracellular glucoamylase enzyme in brewing yeast, and the 8glucanase gene of <u>Bacillus subtilis</u> which specifies the production of an endo-1,2-1,4-8-glucanase in brewing yeast (Hinchliffe & Box, 1985). Such genes can be first genetically modified to control the level οf expression and/or to ensure that the protein whose synthesis is mediated by the gene is secreted by the brewing yeast.

the new disintegration vector use of particularly advantageous in the process described in EP-A-201 239, since, according to this process, the 'gene of interest' is regulated so that it is not expressed during the course of the beer fermentation nor under normal conditions of yeast growth, but is rather induced in a post fermentation process. Consequently high level expression of the 'gene of interest' is divorced in time synthesis of the yeast biomass proliferation, and thus any adverse effects of expression upon plasmid stability are minimized.

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Preferably the vector of the present invention is a disintegration vector (as hereinbefore defined) comprising a complete 2um plasmid additionally carrying a bacterial plasmid DNA sequence necessary for propagation of the vector in a bacterial host; (ii) an extra 2µm FLP recombination site; (iii) a DNA sequence coding for a desired protein or peptide; and (iv) a selectable marker DNA sequence for yeast transformation; the said bacterial plasmid DNA sequence being present and the extra FLP recombination site being created at a restriction site in one of the two inverted repeat sequences of the 2µm plasmid, the said extra FLP recombination site being in direct orientation relation to the endogenous FLP recombination site of the said one repeat sequence, and the bacterial plasmid DNA sequence being sandwiched between the extra FLP recombination site and the endogenous FLP recombination site of the said one repeat sequence.

The preferred disintegration vector thus consists of 20 a complete 2µm plasmid into which is inserted one or more bacterial plasmid DNA sequences and an extra copy of a 74 base-pair FLP recombination site derived from the 2um plasmid. In addition the 'gene of interest', co-linear with a selectable marker for yeast transformation, e.g. <u>CUP-1</u>, is inserted at a second site in the 2µm plasmid. 25 The bacterial plasmid DNA sequences and yeast DNA repeat are inserted, e.g. at an Xbal site, in one copy of the two inverted repeats of the whole 2µm plasmid. correct orientation of the DNA repeat is essential to the 30 function of the plasmid; the plasmid is constructed so that the bacterial plasmid sequence necessary for DNA in, for example, <u>E.coli</u>, is propagation between two copies of the FLP recombination site of the 2µm plasmid, which are themselves in direct orientation. 35 The configuration of DNA sequences is illustrated in Figure 3 described in more detail below.

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construction confines the bacterial plasmid DNA sequences to a region of DNA which, when the plasmid is transformed into yeast, is excised from the plasmid, by virtue of a site-specific recombination event between directly oriented DNA repeats. This site-specific recombination is mediated by the product of the FLP gene 2μm whose product can either be supplied by the endogenous 2µm plasmid of yeast, when transforming cir. cells, or by the disintegration vector itself when transforming ciro cells. Because the vectors of invention may be used to cure the transformed yeast of endogenous 2µm plasmids, and also because the recombination is more rapid in ciro cells. preferable for the vector of the invention to be based on a complete 2µm plasmid. If however, the vector of the 15 invention is to co~exist with the endogenous 2um plasmids, then genes such as REP1, REP2, REP3 and FLP need not be present on the vector, as the products of these genes can be supplied in trans; all ís necessary is an origin of replication.

As is described in more detail below, the inserted DNA carrying the bacterial sequences may carry at each end a respective portion of the repeat sequence, in which case the said DNA is inserted into an endogenous repeat sequence such that the endogenous recombination site is effectively destroyed but two new FLP recombination sites are formed, each comprising a portion of the endogenous recombination site and a complementary portion from the inserted DNA. Alternatively, a complete FLP recombination site may be carried towards one end of the insert, which insert is then inserted adjacent to or spaced from an endogenous repeat sequence such that the bacterial DNA lies between the endogenous repeat sequence and the inserted repeat sequence. When the inserted DNA is inserted at a location spaced from the endogenous sequence, the endogenous DNA between the endogenous

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repeat sequence and the inserted repeat sequence will later be excised along with the bacterial DNA. Hence, if this DNA is needed, a further copy of it must be provided (preferably on the inserted DNA) on the side of the inserted repeat sequence remote from the endogenous repeat sequence.

The site within the integral 2µm plasmid at which the 'gene of interest' is inserted is chosen with a view to minimizing the effect of the insertion upon both plasmid copy number and inheritable stability. Thus it is advantageous to insert the 'gene of interest' at a site that does not interrupt the integrity of the REP1, REP2, REP3 and FLP genes, particularly if the plasmid is intended for use in the transformation of a ciro host strain of yeast.

One advantageous characteristic of the disintegration vector is that, when it is introduced into cir' yeast strains, because it possesses an integral 2um plasmid, it is capable of curing the endogenous 2um plasmid, either during or following the excision of the bacterial plasmid sequences. An analogous situation has recently been reported for whole 2µm vectors transformed into cir' host strains of yeast (Harford & Peters, 1987). Thus the disintegration vector can also be used to cure the endogenous 2µm plasmid from strains of yeast.

In the accompanying drawings,

Figure 1 shows plasmid pBA112 (Andrews, et al., 1985). The thin line represents DNA sequences derived from the bacterial plasmid pUC9; the open bar represents the 74 base-pair DNA fragment containing the FLP recombination site; the triangles indicate the orientation of the three internal DNA repeats within each FLP recombination site (Andrews, et al., (1985);

Figure 2 shows plasmid pSAC112. Plasmid pSAC112 is indentical to pBA112 with the exception that the BamHI. PatI and HindIII sites have been deleted;

Figure 3 shows plasmid pSAC3. The thick line represents DNA sequences from the bacterial plasmid pUC9; the open bars represent the 74 base-pair DNA fragment containing the FLP recombination site; the thin line represents 2µm plasmid DNA sequences; the triangles indicate the crientation of the three internal DNA repeats in each FLP recombination site;

Figure 4 shows plasmid pSAC3U1. Designations are as for Figure 3;

IO Figure 5 is a plasmid map of pSAC3U2. Designations are as for Figure 3;

Figure 6 is a plasmid map of pSAC300. Designations are as for Figure 3;

Figure 7 is a plasmid map of pSAC310. Designations 15 are as for Figure 3;

Figure 8 is a plasmid map of pSAC3C1. Designations are as for Figure 3;

Figure 9 is based on a photograph showing the growth of haploid yeast strains and illustrates the co-inheritance of the URA3 and bacterial <u>bla</u> gene; and

Figure 10 is an autoradiograph of total yeast DNA probed with 32P labelled pSAC3 DNA.

The following Examples illustrate the invention.

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#### EXAMPLE I

## Construction of Plasmids

Plasmid pSAC112 (Figure 2) was constructed by digesting plasmid pBA112 (Figure 1, Andrews, et al., 1985) with the restriction endonucleases BamHI and HindIII simultaneously. Linear plasmid DNA was treated with DNA polymerase I (Klenow) in the presence of 0.3mM dKTP's (dATP, dTTP, dCTP and dGTP) for 10 minutes at 37°C. DNA was extracted with phenol:chloroform, ethanol-precipitated and incubated overnight at 15°C in the presence of T4 DNA ligase. Ligated DNA was transformed into E.coli strain MC1061 (Casadaban and Cohen, 1980):

WO 88/08027 PCT/GB88/00276

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plasmid pSAC112 was isolated from the resultant transformants following identification and characterisation by the method of Birnboim and Doly (1980).

Plasmid pSAC3 (Figure 3) was constructed by the following procedure. Yeast 2um plasmid DNA was isolated from strain DRI9 as described by Guerineau, et al., (1974). Purified 2µm plasmid DNA was partially digested with the restriction endonuclease KbaI as described by Maniatis et al., (1982), and ligated with XbaI cleaved pSAC112. Ligated DNA was transformed into E.coli strain (obtained from NBL Enzymes Ltd., Cramlington, England.). The resultant ampicillin-resistant transformants were screened for homology to 2µm plasmid following colony hybridization (Grunstein Hogness, 1975) to 32P labelled 2.2 kilo base-pair EcoRI fragment from plasmid pYF92 (Storms, R.K. et al., 1979). Colonies showing homology with the 2µm specific DNA probe were isolated and their plasmid DNA characterized by restriction endonuclease mapping procedures. Plasmid pSAC3 was thus obtained.

Plasmids pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were constructed by cleaving plasmid pSAC3 with the restriction endonuclease PstI. Linear DNA was rendered flush-ended by treatment with T4 DNA polymerase in the presence of 0.3mM dNTP's (dATP, dTTP, dCTP and dGTP) for minutes at 37°C. DNA was extracted with phenol: chloroform and ethanol-precipitated prior to ligation. Plasmid pJDB110 (Beggs, 1981) was digested with the restriction endonuclease HindITI and the DNA fragments were subjected to agarose gel electrophoresis on a 1% gel. A 1.1 kilo-base-pair DNA fragment, harbouring the URA3 gene of yeast, was isolated from the gel (Maniatis, et al., 1982) and treated with DNA polymerase I (Klenow) in the presence of 0.3mM dNTP's (dATP, dTTP, dCTP and dOTP). The 1.1 kilo-base-pair HindIII fragment was extracted with phenol:chloroform, ethanol-precipitated

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and blunt-end ligated with linear pSAC3 DNA prepared as described above. Ligated DNA was transformed into E.coli ampicillin resistant resultant AG1. The strain transformants were screened for homology with the URA3 following colony hybridization (Grunstein Hogness, 1975) to a 32P labelled 1.1 kilo-base-pair HindIII fragment purified from plasmid pJDB110. Plasmids pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were isolated from the colonies which showed homology to the <u>URA</u>S gene probe. The 1.1 kilo-base-pair HindIII DNA fragment carrying the <u>URA</u>3 gene was also blunt-end ligated into the unique Eagl and SnaBI sites of pSAC3 to give plasmids designated pSAC300 (Figure 6) and pSAC310 (Figure 7) respectively.

Plasmid pSAC3C1 (Figure 8) was constructed by blunt end ligating a 694 base-pair <u>XbaI-Kpn</u>I DNA fragment, carrying the <u>CUP</u>I gene from plasmid pET13:1 (Henderson et al., 1985) into the unique <u>Pst</u>I site of pSAC3.

20 Transformation of Yeast with Plasmids pSAC3U1 and pSAC3U2

The disintegration vectors pSAC3U1 (Figure 4) and pSAC3U2 (Figure 5) were constructed so that they each contain the selectable yeast gene, URA3, inserted at the unique PstI site of Zum B form. In addition, each plasmid harbours DNA sequences derived from the bacterial plasmid pUC9 flanked by two copies of the FLP recombination site located in direct orientation. The position of the pUC9 DNA is such that FLP mediated recombination between these two directly orientated FLP recombination sites resulted in the excision of the bacterial plasmid DNA upon transformation of yeast. Cirt and circ derivatives of the haploid yeast strain S150-2B (Cashmore, et al., 1986) were transformed to uracil prototrophy with plasmids and pSAC3U2, according to the method of Ito bSAC3U1 URA' transformants were screened for the co-(1983). inheritance of the bacterial bla gene, which encodes the WO 88/08027 PCT/GB88/00276

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B-lactam specific enzyme B-lactamase in yeast, by the (1979). The results method of Chevalier and Aigle presented in Figure 9 show that both plasmids segregate the bla gene from the URA' gene in all transformants of the ciro strain, indicating deletion of the bacterial DNA sequences from the plasmids upon yeast transformation. However, the majority of URA' trans-formants of the cir' strain were observed to co-inherit the bla gene (15 out 20 and 18 out of 20 for pSAC3U1 and pSAC3U2, respectively). These data suggest that the efficiency of plasmid disintegration, i.e. FLP mediated excision of the sequences, is greater upon bacterial plasmid DNA transformation of a ciro strain than a ciro.

## 15 Molecular Analysis of Transformants

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In order to determine whether the URA' transformants which had segregated the bla gene (i.e. 8-lactamase negative clones, bla-) had indeed lost the bla gene and adjacent bacterial plasmid DNA sequences, yeast DNA was analysed. Two URA\* blar transformants of the cir\* and ciro strains transformed with pSAC3Ul and pSAC3Ul were grown on selective minimal medium lacking uracil and total DNA was extracted by the following procedure. Actively growing cells were harvested and resuspended in 5ml 1M sorbitol, 0.025M ethylenediamine-tetracetic acid (EDTA) pH8.0, 8mg/ml dithiothreitol at 28°C for 15 minutes. Cells were harvested and resuspended in 5ml 1.2M sorbitol, 0.1M sodium citrate, 0.01M EDTA pH5.8, 0.025ul/ml zymolyase (Kirin Brewery Co. Ltd.) at 28°C until protoplasts were obtained. Protoplasts were washed three times in 1.2M sorbitol prior to resuspending in 1ml 3% sarkosyl, 0.5M tris/HCl pH7.5, 0.2M EDTA, 100ul/ml proteinase K at 55°C for 60 minutes. DNA preparations were extracted with chloroform: iso-propanol, phenol, chloroform and ether prior to dialysis against 10mM tris/HCl ImM EDTA pH3. Total yeast DNA was digested with

the restriction endonucleases <u>Boorl</u>, <u>Xbal</u> and <u>Pstl</u> and DNA fragments were separated by agarose electrophoresis. Following Southern transfer (Maniatis, <u>et al</u>., 1982) total yeast DNA was hybridized to \*\*P labelled pSAC3 DNA. The results are presented in Figure 10 which is an autoradiograph of total yeast DNA probed with \*\*p labelled pSAC3 DNA. DNA was isolated from S150-2B cir's trains transformed with plasmids pSAC 3U1 and pSAC 3U2. Two independent transformants of each strain/plasmid combination designated A and B were analysed. DNA was digested with restriction endonuclease as follows: <u>Xbal</u>, tracks 1-4 and 21-24; <u>Pstl</u>, tracks 5-12; <u>EcoRI</u>, tracks 13-20.

15	<u>Irack</u> 6, 14, 22 8, 16, 24	Plasmid pSAC3U1 pSAC3U1	Cir+/cir* cir+	Isolate (A/B) A B
20	5, 13, 21	pSAC3U1	ciro	A
	7, 15, 23	pSAC3U1	ciro	B
<b>18</b> 6	2, 10, 18 4, 12, 20	pSAC3U2 pSAC3U2	cir*	A B
25	1, 9, 17	pSAC3U2	ciro	A
	3, 11, 19	pSAC3U2	ciro	B

Based upon the known restriction sites present in the endogenous 20m plasmid of yeast (Hartley and 30 Donelson, 1980) and recombinant plasmids pSAC3U1 and pSAC3U2, one can predict the hybridization pattern to plasmid pSAC3. The predicted hybridization pattern is presented in Table 1.

pSAC3

TABLE 1

Expected hybridization pattern of \$150-2B cir\* and cir\* derivatives, transformed with pSAC3U1 and pSAC3U2 to

Plasmid DNA	Restriction	Endonuclease	Fragments
	(k	ilobase pairs	¥
	ECORI	<u>Xba</u> I	Pati
2um (endogenous)	4.1	3.2	6.3
	39	3 . 1.	
	2.4		
	2.2		
·			
pSAC3Ul and	5.3	4.3	10.2
pSAC3U2 (intact)	4.1	3.2	
	0.72	2.8	
	e de la companya de		
pSAC3U1 and	(5.0)	4.3	7.4
pSAC3U2 (disinte-	4.1	3.2	
grated)	3.3		
	(2.4)		

The numbers in parenthesis will arise if the disintegrated plasmids have undergone FLP mediated interconversion.

If one compares the result of the hybridization (Figure 10) with those expected (Table 1) it can be seen that in each transformant the recombinant plasmid has undergone a deletion consistent with the excision of the bacterial plasmid DNA sequences, contained within the directly oriented FLP recombination sites. In addition, in the case of the transformants designated pSAC3U2/B the endogenous 2µm plasmid of strain S150-2h is no longer present. This implies that transformation of a cirt strain with plasmid pSAC3U2 results in curing of the endogenous 2µm plasmid.

Additional evidence that plasmids pSAC3Ul and pSAC3U2 undergo an excision of the bacterial plasmid DNA upon transformation of yeast was obtained by hybridizing the aforementioned DNA preparations to <sup>32</sup>P labelled pUC9 DNA (Vieira and Messing, 1982). URA\* <u>bla</u>\* transformants did not hybridize to this DNA probe.

# <u>Plasmids pSAC300, pSAC310 and pSAC3C1 Disintegrate upon</u> Yeast Transformation

The URA\* plasmids pSAC300 and pSAC310 were used to 10 transform the cirt and ciro derivatives of \$150-28 and the <u>URA</u> and <u>bla</u> phenotypes of the resultant transformants were determined. In all cases the disintegrated phenotype was observed; thus pSAC300 and pSAC310 are capable of 15 excising the bacterial vector DNA upon yeast transformation. In this respect it was observed that plasmid pSAC300 gave rise to a significantly higher proportion of blat transformants of the cirt derivative of S150-2B. The explanation for this is unknown. However it is possible that the disruption of the Eagl site by the insertion of 20 the <u>URA</u>3 gene in pSAC300 may have interfered with the expression of the adjacent FLP gene, resulting in over expression of the FLP recombinase.

Plasmid pSAC3Cl was designed to be used in the transformation of copper sensitive industrial yeast and in particular brewing yeast. Thus pSAC3Cl was transformed into a proprietary strain of Bass lager yeast designated BB11.0, was described by Hinchliffe and Daubney (1986). Copper resistant transformants were then checked for the presence of the <u>bla</u> phenotype by the ß-lactamase plate assay. Approximately 18% of the transformants tested were <u>bla</u> copper resistant, indicative of the <u>in vivo</u> disintegration of plasmid pSAC3Cl in the brewing yeast host.

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The <u>in vivo</u> disintegration of plasmids pSAC300, pSAC310 and pSAC3Cl was subsequently confirmed following a full molecular characterization of the appropriate host strains possessing the disintegrated phenotype. Thus when total yeast DNA was hybridized to 32P-pUC9 DNA as described previously no homology could be detected in the bladerivatives.

## Plasmid Stability of the 'Disintegrated' Transformants

The inheritable stability of the URA' phenotype in the cir' and cir' strains of S150-2B harbouring the disintegrated derivatives of pSAC3U1 and pSAC3U2, pSAC3U0 and pSAC3I0 was determined by growing the yeast non-selectively in YPD containing 2% w/v glucose, plating on the same medium and replica plating to minimal medium lacking uracil. The percent plasmid loss per generation was calculated and is presented in Table 2.

TABLE 2

Percent Plasmid Loss per Generation

	Plasmid Derivative (Disintegrated	Percent Plasmid Loss per	<u>Generation</u>
	Vector)	S150-2B	S150-2B
25		cir*	cir.
	pSAC3U1	0.22	0.19
30	pSAC3U2	0.31	0.14
	p8AC300	2.5	<del></del>
	pSAC310	0	0.89

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It can be seen from the result presented in Table 2 that all the 'disintegrated'derivatives are unstable in both the cir' and cir' derivatives of S150-2B. However, the level of instability observed for pSAC3U1, pSAC3U2, and pSAC310 in particular is at least one order of magnitude lower than that observed for other URA+ 2µm based recombinant vectors in S150-2B (Cashmore, et al., 1986).

It is interested to note that the insertion of the 10 URA3 gene into the unique EagI site of the 2µm portion of pSAC3 results in a disintegrated derivative which is considerably less stable than those disintegrants derived pSAC3U2 and pSAC310. pSAC3U1. It is therefore that the site of insertion of the selectable marker can have a profound effect upon the stability of 15 the resultant disintegrated derivative. In this respect it is clear that the unique  $\underline{Sna}Ba$  and  $\underline{Pst}I$  sites of  $2\mu m$ form suitable loci for the introduction of recombinant genes, since plasmid stability is not adversely affected 20 by such insertions.

# <u>Plasmid Stability of 'Disintegrated' Transformants of</u> <u>Brewing Yeast</u>

Disintegrated derivatives of pSAC3C1 transformants of BB11.0 were also analysed for the stability of the copper resistant phenotype. Plasmid stability experiments were performed as described previously and resulted in an estimate of 0.014% plasmid loss per generation, under non-selective growth conditions. It is apparent from this result that the disintegrated derivatives of pSAC3C1 are extremely stable in the brewing yeast strain BB11.0, possessing a degree of stability hitherto unobserved for a recombinant 2µm based yeast vector.

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# <u>Disintegration Vectors can be used to Stably Maintain</u> "Genes of Interest" in Yeast

Plasmid pSAC3 carries a unique PstI site and a unique SnaBl site into either of which DNA sequences can inserted as described above, without adversely affecting the phenotype stability of the resultant disintegrated derivative of the plasmid in yeast. These sites can be used as loci for the introduction of "genes of interest" for example the  $\overline{DEX}$ -1 gene of S-diastaticus and the human serum albumin gene expressed from a yeast promoter. Using known methods it is possible to insert genes into these unique loci together with an appropriate selectable marker for yeast transformation. Alternatively, plasmids pSAC3U1, pSAC3U2, pSAC310 and pSAC3C1 can be used as recipients for insertion of an appropriate 'gene of interest'. In this respect pSAC3U1, pSAC3U2 and pSAC310 harbour a unique Smal site located in the 3' non-translated region of the <u>URA</u>3 gene (Rose et al, 1984). This Smal site can be used as a locus for the insertion of an appropriate 'gene of interest'.

The desirability of using the SnaBI site to insert a gene of interest, either directly or indirectly (for example when the <u>URA</u>3 gene is inserted and then a gene of interest is inserted into the Smal site thereof) is independent of the disintegration of the vector, i.e. the loss of the bacterial DNA sequences, and forms another aspect of the invention. Generally speaking, one would to prevent transcription continuing wish from the inserted gene(s) into the endogenous 2µm regions, particularly into the so-called STB region which is on the side of the **SnaBI** site remote from the yeast origin of replication (ori). Thus, preferably, the inserted sequence comprises (a) a gene of interest, (b) a promoter therefor on the side thereof adjacent ori and (c) a  $3^{\prime}$ transcription terminator downstream of the gene of interest and between that gene and the STB region.

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It is to be noted that plasmids may also be created before which, recombination, have only FLP recombination sites, in direct orientation and with the 20 unwanted, for example bacterial, DNA between them (i.e. as the shorter of the two parts of the plasmid separated by the pair of recombination sites). After recombination. the plasmid will have only one recombination site and will therefore not undergo the usual 2µm recombination to 25 give a mixed population of A and B forms. Such plasmids are likely to be less stable than those described above. but nevertheless form an aspect of the invention and mar be claimed as such.

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#### CLAIMS

- 1. A 2µm plasmid vector comprising a DNA sequence which is intended to be lost by recombination, three 2µm FLP recombination sites, of which one pair of sites is in direct orientation and the other two pairs are in indirect orientation, and a DNA sequence coding for a protein or peptide of interest, the said sequence to be lost being located between the said sites which are in direct orientation.
- 2. A 2µm plasmid vector according to Claim 1 which also lo comprises a selectable marker DNA sequence.
  - 3. A 2µm plasmid vector according to Claim 2 comprising a complete 2µm plasmid additionally carrying (i) a bacterial plasmid DNA sequence necessary for propagation of the vector in a bacterial host; (ii) an extra 2µm FLP
- recombination site; (iii) a DNA sequence coding for a protein or peptide of interest; and (iv) a selectable marker DNA sequence for yeast transformation; the said bacterial plasmid DNA sequence being present and the extra FLP recombination site being created at a
- 20 restriction site in one of the two inverted repeat sequences of the 2µm plasmid, the said extra FLP recombination site being in direct orientation in relation to the endogenous FLP recombination site of the said one repeat sequence, and the bacterial plasmid DNA
- 25 sequence being sandwiched between the extra FLP recombination site and the endogenous FLP recombination site of the said one repeat sequence.
  - 4. A  $2\mu m$  plasmid vector according to Claim 3 wherein the restriction site is the unique <u>Kba</u>I site.
- 30 5. A 2µm plasmid vector according to Claim 3 or 4 wherein all bacterial plasmid DNA sequences are sandwiched as said.
  - 6. A 2µm plasmid vector according to any of Claims 1 to 5 in which the DNA sequence coding for a protein or peptide of interest is heterologous to yeast.

- 7. A 2 µm plasmid vector according to Claim- 6 in which the DNA sequence coding for a protein or peptide of interest is a DNA sequence coding for HSA fused at its 5' terminus to a gene promoter which functions in yeast via a secretion leader sequence which functions in yeast and at its 3' terminus to a transcription termination signal which functions in yeast.
- 8. A 2µm plasmid vector according to Claim 6 in which the DNA sequence coding for a protein or peptide of interest is the MET-HSA gene fused at its 5' terminus to the GAL/CYCl or GAL/PGK hybrid promoter, and at its 3' terminus to a transcription termination signal which functions in yeast.
- 9. A 2µm plasmid vector according to any of Claims 1 to 5 in which the DNA sequence coding for a protein or peptide of interest is the DEX-1 gene or a DNA sequence coding for the B-glucanase of Bacillus subtilis fused at its 5' terminus to a gene promoter which functions in yeast via a secretion leader sequence which functions in 20 yeast and at its 3' terminus to a transcription termination signal which functions in yeast.
  - 10. A Zum plasmid vector according to Claim 1 having substantially the configuration of pSAC3 as shown in Figure 3 of the accompanying drawings.
- 11. A process for preparing a 2µm plasmid vector 25 according to any one of the preceding claims comprising inserting into a complete Zum plasmid (i) a DNA sequence for selecting yeast transformants, (ii) a DNA sequence encoding a protein or peptide of interest and (iii) an insert comprising (a) bacterial plasmid DNA to allow 30 propagation of the vector in bacteria and (b) the elements of a FLP recombination site such that an extra FLP recombination site is created in the vector and the said bacterial DNA is sandwiched between FLP recombination sites in mutually direct orientation. 35

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- 12. A process according to Claim II wherein the insert is inserted at the unique  $\underline{Xba}I$  site of an endogenous FLP recombination site, one end of the insert carries one portion of a  $2\mu m$  repeat sequence and the other end of the insert carries the remainder of the  $2\mu m$  repeat sequence.
- 13. A 2µm plasmid vector comprising a DNA sequence encoding a protein or peptide of interest which is heterologous to yeast, the vector comprising no bacterial DNA.
- 10 14. Brewing yeast or laboratory yeast transformed with a 2µm plasmid vector as claimed in any of Claims 1 to 10 and 13.
  - 15. A protein or peptide of interest prepared by fermenting a yeast according to Claim 14.
- 15 16. A 2µm plasmid vector carrying a gene of interest inserted directly or indirectly at the SnaBI site.

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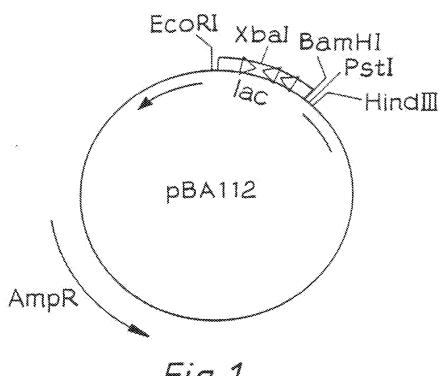
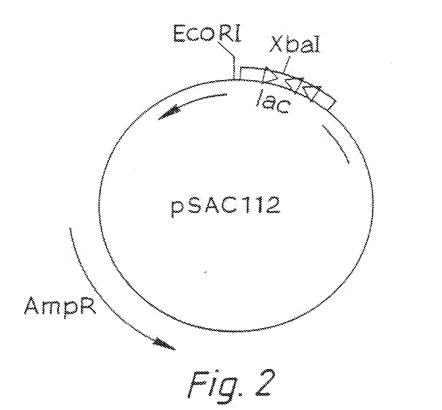
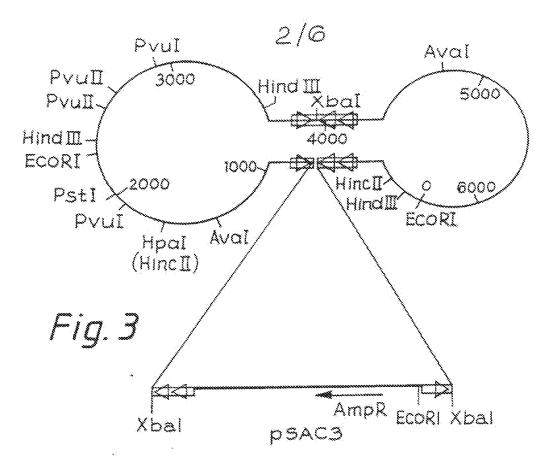
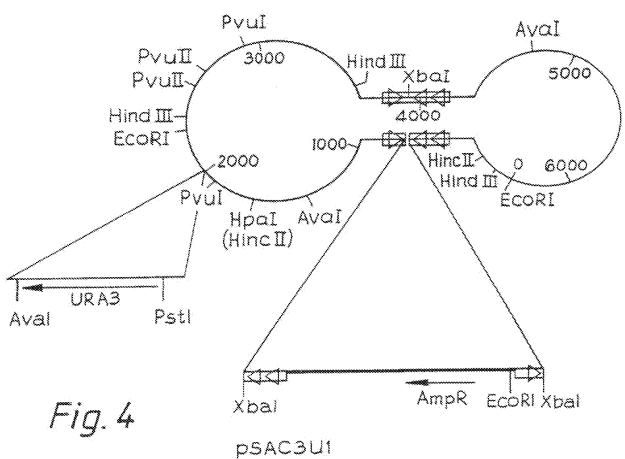


Fig. 1

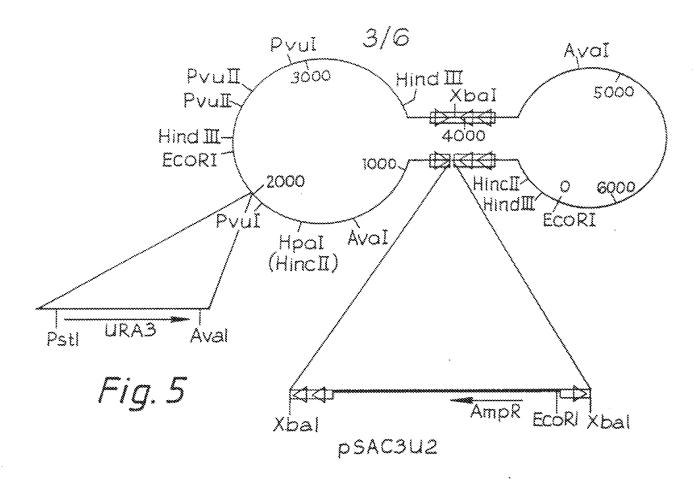


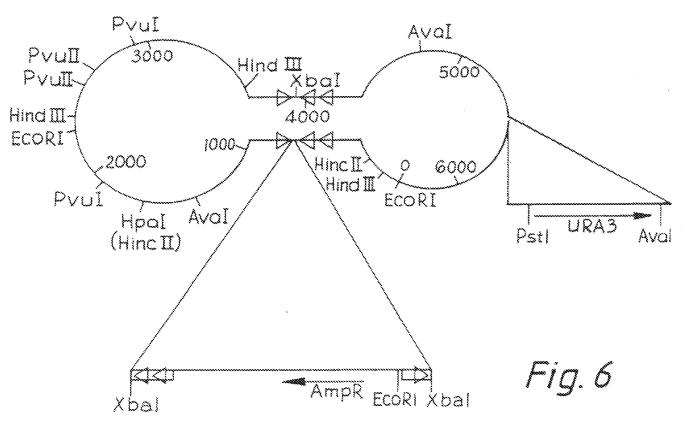
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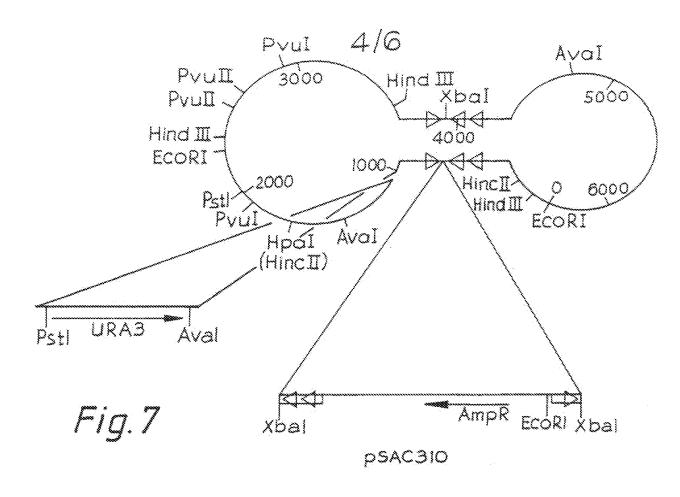


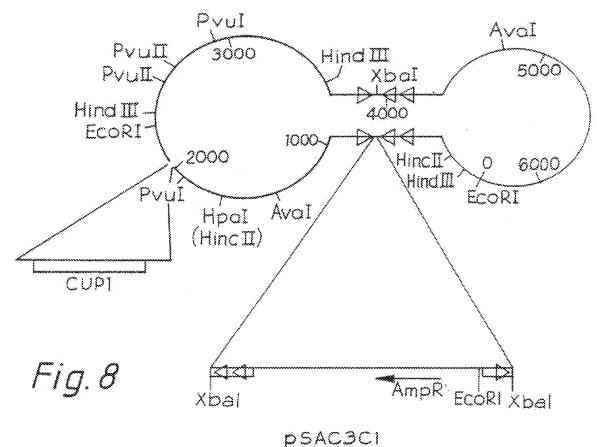
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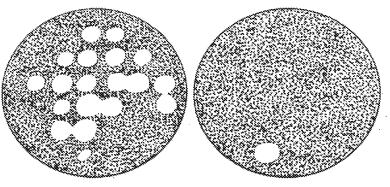




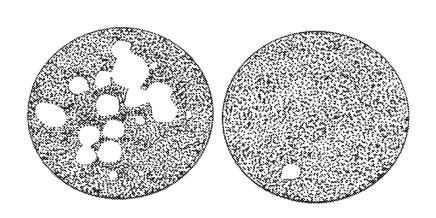
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Fig. 9 Co-Inheritance of URA+ and bla+

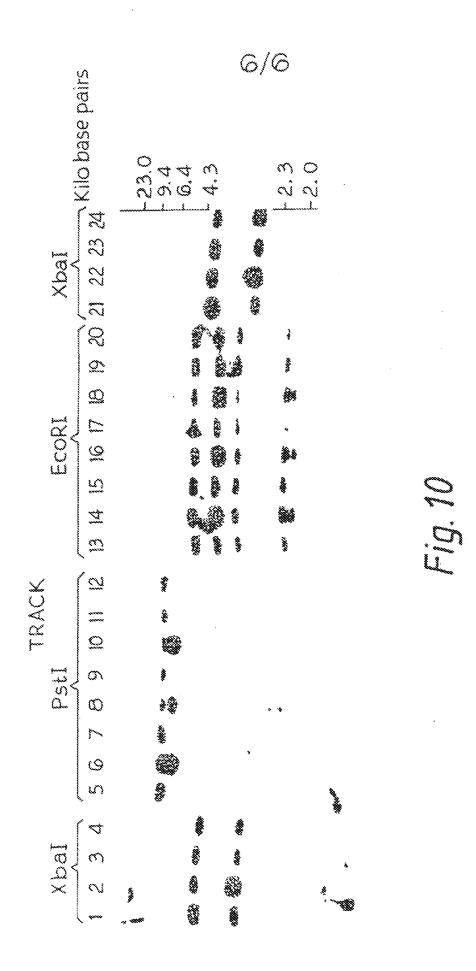
S150-2B

cir + cir \*
(pSAC3U2) (pSAC3U2)



\$150-2B cir<sup>+</sup> cir<sup>\*</sup> (pSAC3U1) (pSAC3U1)





# INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 88/00276

I. CLASSIFICATION OF SUBJECT MATTER (II several classification symbols apply, indicate all) *				
Accordin	g to International Patent Classification (IPC) or to both Hat	tional Classification and IPC		
IPC 4	C 12 N 15/00; C 12 N 1/16;	C 12 P 21/02		
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88. <b>කර</b> ර	UMENTS CONSIDERED TO BE RELEVANT.		Relevant to Claim No. 13	
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	C.F. Hollenberg: "Clo	ning with 2-mum		
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ategory *	Citation of Document, with indication, where appropriate, of the resevant passages	Relevant to Claim No
A	EP, A, 0147198 (BASS PUBLIC LTD) 3 July 1985, see claims; page 21, line 23 - page 26, line 14	Ø
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## ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO.

GB 8800276

SA 21554

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 18/07/88

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